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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Vlad J. Novotny and Yee-Chung Fu

FEB 15 2002

Assignee: Active Optical Networks, Inc.

TECHNOLOGY CENTER 2000

Title: "MULTI-AXIS MICRO-ELECTRO-MECHANICAL ACTUATOR"

Serial No.: 10/032,198

Filed: December 20, 2001

Examiner:

Tel:

Docket No.: AO-001

Art Unit:

Box DD

January 25, 2002

Commissioner For Patents
Washington, D.C. 20231

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §1.56, §1.97 and §1.98, Applicants bring the 4 documents listed on the enclosed form PTO-1449 to the Examiner's attention in the above-captioned application. Citation of the listed documents shall not be construed as:

1. an admission that the documents are necessarily prior art with respect to the instant application;
2. a representation that a search has been made; or
3. an admission that the information cited is, or is considered to be, material to patentability as defined in §1.56(b).

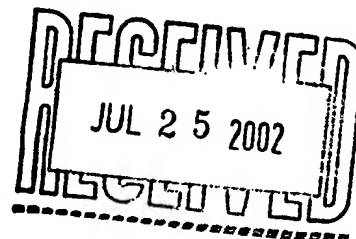
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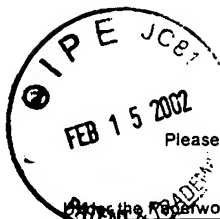
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Laurie Moreno

Respectfully submitted,

Arthur J. Behiel
Attorney for Applicants
Reg. No. 39,603





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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number

10/032,198

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December 20, 2001

First Named Inventor

Vlad J. Novotny

Group Art Unit

Unknown

Examiner Name

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Attorney Docket Number

A0-001

ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment / Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement*
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
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- ☐ Licensing-related Papers
- ☐ Petition
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- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
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- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):

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Remarks

*In addition to the 1 page IDS, Applicants include:

1 page PTO-1449 Citing 4 documents
1 Copy of each cited document

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Individual name

Arthur J. Behiel

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Arthur J. Behiel

Date

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